

Appendix 3 – Risks Rated Extreme on Datix System as of 7th March 2017

ID	Title	Description	Manager	Handler	Principal objectives	Opened	Review date	Rating (current)	Residual Risk Level	Closed date
444	Demographic Growth	Potential that Demographic Growth targets for 2016/17 may not be met.	Steven Marshall	Andrea Smith	3a. Proactively drive CCG's contribution to the Black Country STP, 3b. Greater integration of health and social care services across Wolverhampton, 3c. Continue to meet statutory duties and responsibilities, 3d. Deliver improvements in the infrastructure for health and care across Wolverhampton, Sustainability: this section looks at how the CCG is remaining in financial balance, and is securing good value for patients and the public from the money it spends;	22/09/2016	31/03/2017	20	Extreme	
466	Out of Hours Provider - inaccurate reporting of performance data	CRM meeting held with the provider on 27th January, highlighted issues in terms of significant amounts of data submissions being incorrect, including activity. Subsequent uploads onto Unify are also potentially incorrect as a result of the provider's inability to submit accurate performance data. This in turn discredits all the reported data in the Quality report, which has no triangulation, quality check or examination.	Manjeet Garcha	Steven Forsyth	1a. Ensure on-going safety and performance in the system	30/01/2017	29/03/2017	16	Extreme	
415	BCF Financial risk 16/17	Financial risks to the CCG of Funding the BCF, absorbing risk in line with risk share and potentially not delivering the reductions planned in NEL admissions. £3.2m QIPP has been allocated to BCF	Steven Marshall	Steven Marshall	3a. Proactively drive CCG's contribution to the Black Country STP, 3b. Greater integration of health and social care services across Wolverhampton, 3c. Continue to meet statutory duties and responsibilities, 3d. Deliver improvements in the infrastructure for health and care across Wolverhampton	17/05/2016	31/03/2017	16	Extreme	
474	CHIS (Child Health Information Service) - current IT system not fit for purpose	Current IT system is not fit for purpose in relation to our data sharing agreement with GPs and Acute provider. There are issues around information from live births, vaccination scheduling and routine screening resulting in late invitations and queues for vaccinations. Currently the data extraction tool we use for the information CHIS require is not acceptable to them (Grafnet) and they wish to use another tool (Health Intelligence) that is not acceptable to RWT. As a result it appears that information flow is not as it should be and there is a risk that children will miss their vacs and screening.	Liz Corrigan	Liz Corrigan	1a. Ensure on-going safety and performance in the system	08/02/2017	31/03/2017	15	Extreme	
442	Progress against BCF Transition Plan	Failure to meet (RWT) targets within Q1 of Transition plan, in particular recruitment of staff means that the programme is not meeting its target reduction of non emergency admissions	Andrea Smith	Andrea Smith	3a. Proactively drive CCG's contribution to the Black Country STP, 3b. Greater integration of health and social care services across Wolverhampton, 3c. Continue to meet statutory duties and responsibilities, 3d. Deliver improvements in the infrastructure for health and care across Wolverhampton	12/09/2016	31/03/2017	20	Extreme	
424	Wrottersley Park House Nursing Home	Multiple quality and safety concerns	Steven Forsyth	Molly Henriques-Dillon	1a. Ensure on-going safety and performance in the system	01/06/2016	30/03/2017	16	Extreme	
345	Children who display sexually harmful behaviour.	The Commissioner (S Fellows) made aware by colleagues in local authority and RWT that a potential gap exists regarding referral and treatment of children who display sexual harmful behaviour.	Sarah Fellows	Mags Courts	3a. Proactively drive CCG's contribution to the Black Country STP, 3b. Greater integration of health and social care services across Wolverhampton, 3c. Continue to meet statutory duties and responsibilities, 3d. Deliver improvements in the infrastructure for health and care across Wolverhampton	04/12/2014	15/03/2017	16	Extreme	

425	Community Neighbourhood Teams	One of the main programmes of work for the redesign and modernisation of Community services is the development and co-location of integrated Community Neighbourhood teams. Partners under the Better Care Fund have approved the co-location Social and Health care colleagues to facilitate a truly integrated service to the local population. There are three teams planned and each team will be wrapped around Primary Care to support a shared care approach to care delivered closer to home. Original timescales for co-location were: Identification and agreement of estates – June 2016 Roll out of co-location – September 2016 It has become apparent that strategically, each partner is developing estates strategies in isolation. A further concern is that, at a strategic level, each partner doesn't appear to be aware of or taking account of the need to model for the integration and co-location of the Community Neighbourhood teams. Anecdotally, timescales within each partner organisation for completion of this work varies from 6-12 months during which it will be difficult to agree and mobilise the co-location of the teams. Currently, this risk is being managed at a work stream level with recent escalation to work stream leads. The strategic nature of the estates reviews is outside the remit of the ACC work stream and we are unable to influence at this level.	Karen Evans	Karen Evans	1a. Ensure on-going safety and performance in the system	01/06/2016	28/02/2017	15	Extreme
312	Mass Casualty Planning	The ability of the CCG to respond to any event where the casualty load generated is in excess of 100 patients	Dee Harris	Tally Kalea	3a. Proactively drive CCG's contribution to the Black Country STP, 3b. Greater integration of health and social care services across Wolverhampton, 3c. Continue to meet statutory duties and responsibilities, 3d. Deliver improvements in the infrastructure for health and care across Wolverhampton	01/05/2014	16/12/2016	16	Extreme